**Confidentiality Statement:** Responses to Questions 16-26 are confidential. We will report only aggregate results that will not permit the identification of individual responses. For research and dissemination purposes, the ICN Technical Assistance Subgroup would like to be able to use and report all of the data collected in Questions 1-15. In some cases, this might lead to reporting results in such a way that it will be possible to identify the responding agency or jurisdiction, but not the individual respondent. If there are responses in Questions 1-15 that you want to remain confidential, please indicate which by noting the appropriate data counters (bracketed numbers next to the response space).

Survey ID: __________________ [1]

<table>
<thead>
<tr>
<th>Question</th>
<th>Answer Options</th>
</tr>
</thead>
<tbody>
<tr>
<td>1) In what year was the first competition law enacted in your country?</td>
<td>__________ [2]</td>
</tr>
<tr>
<td>2) In what year was the most recent competition law (or substantive amendments to the current law) enacted?</td>
<td>__________ [3]</td>
</tr>
<tr>
<td>3) In what year was legislation enacted to establish the agency?</td>
<td>__________ [4]</td>
</tr>
<tr>
<td>4) In what year was the agency actually established and functioning?</td>
<td>__________ [5]</td>
</tr>
<tr>
<td>5) How many different agency heads have there been since the agency was established?</td>
<td>__________ NA [6]</td>
</tr>
<tr>
<td>6) Does the head of the agency hold cabinet or minister rank or higher?</td>
<td>Y   N [8]</td>
</tr>
<tr>
<td>7) Is the Agency</td>
<td>1. Part of a Ministry, or 2. An independent body [9]</td>
</tr>
<tr>
<td>8) Does the Agency have the authority to select which cases it wants to investigate?</td>
<td>Y   N [10]</td>
</tr>
<tr>
<td>9) Does the Agency have the authority to:</td>
<td>Make a recommendation on whether or not to prosecute   Y   N [11]</td>
</tr>
<tr>
<td>Make a decision on whether or not to prosecute</td>
<td>Make a decision that the law has been violated   Y    N [12]</td>
</tr>
<tr>
<td>Make a decision on whether or not to prosecute</td>
<td>Make a decision that the law has been violated   Y    N [13]</td>
</tr>
<tr>
<td>10) Where does the agency go to enforce first-level decisions, orders, or sanctions (for civil cases)?</td>
<td>Select the principal body: __________ [14]</td>
</tr>
<tr>
<td>1. The Agency itself</td>
<td>2. Administrative decision-making body (independent of judiciary) Y   N</td>
</tr>
<tr>
<td>3. Specialized tribunal (part of the judiciary)</td>
<td>4. Specialized tribunal (within the executive/ministry) Y    N</td>
</tr>
<tr>
<td>5. Lower court of general jurisdiction</td>
<td>6. Supreme Court Y</td>
</tr>
<tr>
<td>7. Parliament/Congress/Legislative Assembly</td>
<td>8. Oversight Ministry Y</td>
</tr>
<tr>
<td>9. Other Ministry</td>
<td>10. Other body (specify which): __________________________ [A]</td>
</tr>
<tr>
<td>11) Where does a party go for the first external appeal?</td>
<td>Select the principal body: __________ [15]</td>
</tr>
<tr>
<td>1. Administrative decision-making body (independent of judiciary)</td>
<td>2. Specialized tribunal (part of the judiciary) Y</td>
</tr>
<tr>
<td>3. Specialized tribunal (within the executive/ministry)</td>
<td>4. Lower court of general jurisdiction Y</td>
</tr>
<tr>
<td>5. Supreme Court</td>
<td>6. Parliament/Congress/Legislative Assembly Y</td>
</tr>
</tbody>
</table>
7. Oversight Ministry
8. Other Ministry
9. Other body (specify which): __________________________ [B]

12) Which of the following entities provide the principal oversight over the agency’s operations? (choose one and indicate which number): __________ [16]
   1) the parliament/congress/legislative assembly
   2) the president
   3) the prime minister
   4) the Ministry of Finance (or equivalent)
   5) the Ministry of Industry or Economy (or equivalent)
   6) another Ministry (please specify) : ___________________________________ [C]

13) The Agency’s functions and/or jurisdiction include which of the following (circle Yes or No for each)?
   ◆ Mergers  Y N [17]
   ◆ Anticompetitive conduct  Y N [18]
   ◆ State Aid  Y N [19]
   ◆ Consumer protection  Y N [20]
   ◆ Unfair competition  Y N [21]
   ◆ Price regulation  Y N [22]
   ◆ Competition advocacy  Y N [23]
   ◆ Sectoral regulation in public services  Y N [24]
   ◆ Antidumping  Y N [25]
   ◆ International trade negotiations  Y N [26]
   ◆ Intellectual Property  Y N [27]
   ◆ Other (please specify): ___________________________________ [D]
   ◆ Price regulation  Y N [28]

14) Are there sectoral regulatory agencies for the following sectors (circle Yes or No for each sector)?
   if yes, indicate what type of authority your agency has over competition issues in the sector:
   1: sole jurisdiction    2: combined jurisdiction (w/the regulatory agency)    3: no jurisdiction
   ◆ Telecommunications  Y N [29] mergers ____________________ [30]
   ◆ Energy  Y N [32] anticompetitive conduct ____________________ [33]
   ◆ International trade negotiations  Y N [26]
   ◆ Intellectual Property  Y N [27]
   ◆ Sectoral regulation in public services  Y N [24]
   ◆ Anticompétitive conduct  Y N [18]
   ◆ State Aid  Y N [19]
   ◆ Consumer protection  Y N [20]
   ◆ Unfair competition  Y N [21]
   ◆ Price regulation  Y N [22]
   ◆ Antidumping  Y N [25]
   ◆ Competition advocacy  Y N [23]
   ◆ Other (please specify): ___________________________________ [E]

15) Is the agency a provider of technical assistance to other competition authorities?  Y N [35]
   If yes, please indicate which of the following types of technical assistance the agency has provided?
   ◆ long-term advisor (3 months or more)  Y N [36]
   ◆ in-country consultations (advisor on a short-term basis)  Y N [37]
   ◆ national/regional/international seminar or conference  Y N [38]
   ◆ received study missions or secondments  Y N [39]
   ◆ assisted in drafting laws or regulations  Y N [40]

Section 2  Needs Assessment

16) Has the agency ever been the subject of an outside review?  Y N [41]
    If no, skip to question 24. If more than one, consider only the most recent review in answering these questions.

17) What year was it conducted?  __________ [42]

18) What was the principal purpose? (indicate which number): __________ [43]
    1) general diagnostic review/needs assessment
    2) in anticipation of new TA project
    3) peer review
    4) other (please specify): ___________________________________ [E]
19) Who was the principal initiator and the principal entity to conduct the review?

Initiated: __________ [44] Conducted: __________ [45]

1) the agency itself
2) another national government agency
3) multinational organization
4) multinational lender or bilateral donor
5) another country’s competition agency
6) consultants/non-government organizations
7) other: (please specify): _________________________________________ [F]

20) Did this review leave the agency with a good understanding of its technical assistance needs and priorities?

Y   N [46]

21) Did the review change your agency’s perceptions of its needs and priorities?

Y   N [47]

22) Have any of the more important recommendations or identified needs been incorporated into subsequent technical assistance programs?

Y    N [48]

23) What changes, if any, occurred as a result of this review?

◆ change in agency mission or objectives Y   N [49]
◆ change in enforcement strategy Y   N [50]
◆ change in organization or structure Y   N [51]
◆ change in staffing Y   N [52]
◆ change in legal/regulatory framework Y   N [53]
◆ other substantive change (please specify): ______________________________ Y   N [54]

Section 3 Efficacy of Technical Assistance

24) Which of the following types of technical assistance has your agency received since its inception? Circle all that apply.

Of the types of technical assistance you have received, how effective have the following types of technical assistance been at improving your agency’s ability to fulfill its mission / objectives?

Use the following scale: 1 (not effective at all) to 7 (most effective) or NA (not applicable).

◆ Long term advisor (3 months or more) Y   N [55] 1 2 3 4 5 6 7 NA [56]
◆ In-country consultations (advisor on a short-term basis) Y   N [57] 1 2 3 4 5 6 7 NA [58]
◆ National/Regional/international workshop/seminar Y   N [59] 1 2 3 4 5 6 7 NA [60]
◆ Drafting (laws, amendments, guidelines, or regulations) Y   N [61] 1 2 3 4 5 6 7 NA [62]
◆ Academic studies Y   N [63] 1 2 3 4 5 6 7 NA [64]
◆ Study missions/internships abroad Y   N [65] 1 2 3 4 5 6 7 NA [66]
◆ Procurements (e.g. computers) Y   N [67] 1 2 3 4 5 6 7 NA [68]

25) Based on your agency’s overall experience as a recipient of technical assistance and considering all technical assistance received, please rate the following set of characteristics on how important they are to the success of the technical assistance projects.

Use the following scale: 1 (no importance at all) to 7 (greatest importance) or NA (not applicable).

◆ The role of the agency in the involvement of the project design 1 2 3 4 5 6 7 NA [69]
◆ The timing of the agency’s involvement in project design 1 2 3 4 5 6 7 NA [70]
◆ The knowledge and experience of the technical assistance advisors 1 2 3 4 5 6 7 NA [71]
◆ The stability and predictability of funding from donors over the course of the project 1 2 3 4 5 6 7 NA [72]
◆ The flexibility of the donor to change or revise the projects to reflect changes in the needs of the agency 1 2 3 4 5 6 7 NA [73]
◆ The quality of training and case materials provided by the technical assistance advisors 1 2 3 4 5 6 7 NA [74]
◆ The resource contributions made by the agency 1 2 3 4 5 6 7 NA [75]
Agency Survey

- The degree of control the agency has over the selection of the advisors: 1 2 3 4 5 6 7 NA [76]
- The role of the donors in deciding the time frame of the intervention: 1 2 3 4 5 6 7 NA [77]
- The ability of the technical assistance advisors to teach: 1 2 3 4 5 6 7 NA [78]
- The technical assistance advisors’ familiarity with local legal and economic conditions: 1 2 3 4 5 6 7 NA [79]

Again, based on your agency’s overall experience as a recipient of technical assistance and considering all technical assistance received, please rate the following set of characteristics on how important they are to the success of the technical assistance projects.

Use the following scale: 1 (no importance at all) to 7 (greatest importance) or NA (not applicable).

- The overall role of the agency in project design and implementation: 1 2 3 4 5 6 7 NA [80]
- The overall quality of the advisors: 1 2 3 4 5 6 7 NA [81]
- The overall role of the donors: 1 2 3 4 5 6 7 NA [82]

26) Have any of your technical assistance programs directly included the following constituencies?

- the judicial branch
- private attorneys
- the business community
- consumers or consumer groups
- members of the media
- parliamentarians/congressmen/legislators
- sectoral regulators
- others (please specify): __________________

Y N [83]
Y N [84]
Y N [85]
Y N [86]
Y N [87]
Y N [88]
Y N [89]
Y N [90]